



Corporate Governance & Compliance | Competitive Advantage

The Red Flag Group

Investigation and Due Diligence Conduct Standards



The Red Flag Group is committed to performing with the highest level of ethical conduct. All our staff must pledge to act at all times with integrity and perform their work in a professional manner. We hold a professional responsibility to our clients, to the public interest, and to each other; a responsibility that requires subordinating self-interest to the interests of those we serve.

The responsibility to conduct professional investigations carries with it the obligation to act at all times with integrity and fairness. To meet this responsibility, all investigations and due diligence analysis ("Investigations") conducted by The Red Flag Group will adhere to the following standards. These standards apply to all staff of The Red Flag Group, contractors, agents and partner firms conducting due diligence or investigations on behalf of the firm.

- ✓ All investigations shall be conducted in compliance with all relevant applicable laws
- ✓ No bribe or facilitation payment shall ever be paid to obtain information or speed up the delivery of information. We never subcontract work to parties that we cannot legally perform / undertake ourselves
- ✓ All investigators shall treat information with which they have been entrusted during the course of business with respect, and only access or disclose that information for the purposes intended
- ✓ All investigators shall conduct client work in a manner which is fair, transparent, accountable, honest, cautious, thorough, law abiding, mindful of the confidentiality of the material with which they have been entrusted, and with due respect and protection for the reputation of The Red Flag Group and our clients
- ✓ The investigative techniques employed must not unnecessarily interfere with the reasonable privacy interests of the subject
- ✓ Sensitive investigative techniques, including but not limited to physical and computer forensic searches or the use of covert or undercover strategies, shall only be employed after first obtaining the written authorisation of the client
- ✓ Investigators shall not employ artifice, deception or coercion to intrude upon legitimate privacy rights, or protected fiduciary relationships; nor shall they offer any form of inducement for another person to breach a legal duty of confidentiality
- ✓ All investigators shall, at all times, demonstrate a commitment to professionalism and diligence in the performance of his or her duties. Investigators shall never engage in any illegal or unethical conduct, or in any activity which would constitute a conflict of interest
- ✓ All investigators shall, when writing reports, obtain evidence or other documentation to establish a reasonable basis for any opinion rendered. No opinion shall be expressed regarding the guilt or innocence of any person or party
- ✓ Staff shall never reveal any confidential information obtained during a professional engagement without proper authorisation